

HONS.10&08/16/05/EC

B.A. LL.B. (HONS.) TENTH AND EIGHTH SEMESTER EXAMINATION, APRIL 2016

SECURITIES LAW

Time: 3 hours

Maximum Marks: 50

PART – A

Write notes on any **SIX** of the following. All questions carry **EQUAL** marks

(6 x 5 = 30)

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|--------------------------------|-------------------------------|
| I. Depository participants | V. ESOP and ESPS |
| II. Mutual funds | VI. Underwriters |
| III. Venture capital | VII. Shares and debentures |
| IV. Indian depository receipts | VIII. Clearing and settlement |

PART – B

Write a brief essay on any **TWO** of the following. All questions carry **EQUAL** marks

(2x 10 = 20)

- IX. What is the significance of a stock exchange? How are they regulated?
- X. How could resources be mobilised from international capital markets?
- XI. What is the role of capital market intermediaries? Describe any two in detail?
- XII. What are the advantages of a book building process? How does a green shoe option help?

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